What is the healthcare requirement?

In June 2018, the Office of Laboratory Animal Welfare (OLAW) required us to create a process to obtain medical clearance from a healthcare professional for each individual listed on an IACUC protocol. This is to identify any occupational health issues that may exist for individuals exposed to research animals. To support this new requirement, we have developed a health screening questionnaire and have partnered with our Environmental Health and Safety department (EH&S) to work out a process. The form and process are consistent with what other campuses in the UNC system use for the same requirement.

Are all animal use activities subject to the healthcare requirement?

Yes. All animal research and educational activities that require approval by the IACUC are subject to this new requirement. There are no exclusions for certain types of activities, such as netting fish or other field work, and there are no exclusions for certain species.

Who is subject to the healthcare requirement?

All individuals listed in the research team member section of a new or replacement protocol (Part B.1.) are required to complete the health screening evaluation. If the PI needs to add new personnel to a study after receiving IACUC approval, the PI must ensure that new personnel meet this requirement (along with the usual required online training) prior to submitting the individual’s name to the IACUC to request approval to amend the study.

How often do animal researchers have to have a healthcare evaluation?

The IACUC does not require recertification of evaluation at this time, unless the researcher experiences a change in his or her health, or unless the researcher plans to have contact with a species that was not indicated on the original evaluation.

Will each new/replacement protocol require a health assessment, or can a PI list all planned activities and animals to be used that would cover them indefinitely?

A PI may list all planned activities and all types of animals on one questionnaire for evaluation, and that one Healthcare Provider Clearance Form could potentially cover the PI indefinitely for all protocols, if there are no changes in the researcher’s health and no changes in the types of animals used.

When do we have to submit our Healthcare Provider Clearance Forms?

The IACUC will expect PIs and all research team members to meet the health screening requirement prior to receiving IACUC approval on new and replacement protocols.
What if the medical provider makes a recommendation that I or a member of my research team thinks is unreasonable? Do we have to comply with the medical provider’s recommendation?

No. The medical provider may make recommendations based upon his or her professional opinion, but it is up to the PI and the research team member to determine what is needed to adequately protect the researcher’s health.

Do students have to undergo a health screening too?

Possibly. If a student is listed on an IACUC protocol form as a member of a research team, then the student is subject to the requirement. If a student is in a class that operates under an IACUC protocol for animal observation and experimentation, but the student is not responsible for the daily care of the animals, has only infrequent contact with the animals, and uses the animals under the supervision of the person(s) listed as investigators on the IACUC protocol form, the student should not be listed on the IACUC protocol form as a member of the research team and thus would not be subject to the health screening requirement.

Where can students go for their healthcare evaluation?

Students may go to the Abrons Student Health Center for this evaluation. Health center leadership has agreed to provide this evaluation at no charge to students. Under usual circumstances, students should schedule an appointment with the health center, complete the risk assessment form, and bring it with them to meet with a healthcare professional, who will review the information on the form with the student.

Will the Abrons Student Health Center provide this evaluation for graduate students and undergraduate students?

Yes.

When is the best time for a student to go to the Abrons Health Center for a healthcare evaluation?

One of our researchers suggested that you might want to instruct students to schedule the appointment first-thing in the morning to avoid delays in getting seen by medical staff. The appointment should take only about 20 minutes, but some students have waited up to 40 minutes before seeing medical staff.

What does the student do after meeting with the physician at the health center?

The student should submit a copy of the Healthcare Provider Clearance Form (just page 3 of the questionnaire) to the principal investigator (PI) of the animal research study. If the student is a member of multiple research teams, the Healthcare Provider Clearance form can cover multiple studies.

The student should also discuss any health-related concerns noted on the Healthcare Provider Clearance Form with the PI to see if any additional protective gear or accommodations are needed to ensure the health of the student. Please note that the medical provider may make recommendations based on his/her professional opinion, but the PI and research team member have the discretion to decide what actions are needed, if any, to adequately protect the researcher’s health.
What does the PI do with the Healthcare Provider Clearance Forms?

The PI should retain the Healthcare Provider Clearance Form (page 3 only of the questionnaire) for all research team members in a secure location and make a note of the date clearance was provided. The PI must provide the date of the health evaluation on any new or replacement protocol submitted for approval to the IACUC. The PI must also send a copy of the Healthcare Provider Clearance Form to IACUC at IACUC@uncw.edu.

Who pays for faculty/staff healthcare evaluations?

The Research Integrity Office (RIO) has identified funds to pay for faculty and staff health screening evaluations. Departments will not be responsible for this expense. If faculty/staff use Wilmington Health Occupational Medicine to conduct their evaluations, they can instruct Wilmington Health to directly bill the purchase order held by RIO (for FY 22-23 PO #P0167342). If faculty/staff prefer to use their own personal physicians to conduct this evaluation, we ask that they first check to make sure that the university will not have to pay more than what it would be charged by Wilmington Health for the service. After confirming this, faculty/staff must pay out-of-pocket for the evaluation and submit a receipt to RIO for reimbursement.

What are the fees for healthcare evaluations at Wilmington Health?

Fees range from $10 for a basic screening if no other evaluation is required to $119 if a “Fit for Duty” evaluation or pulmonary function test for respirator use are required. However, when you reference UNCW PO #P0167342, Wilmington Health should bill the Research Integrity Office.

Do we have to go to Wilmington Health personally, or can we submit our questionnaires to Wilmington Health without a visit?

A personal visit is not always necessary. The occupational health provider will review forms submitted via email and determine if a personal visit or phone call is needed.

- **Send forms by email to:** occmed@wilmingtonhealth.com with the subject line, “UNCW Health Assessment for Animal Research.”
- **When submitting your forms, please provide the best phone number where you can be reached between 8AM and 5PM.**
- **Please also reference the current PO # (for FY 22-23 PO #P0167342).**

What can I expect when I contact Wilmington Health for a healthcare evaluation?

Wilmington Health may ask you to complete some additional forms if you are not already an established patient there. If a health condition is indicated on the form that warrants a conversation or examination, the physician will determine if an in-person exam is needed or if an evaluation can be performed over the phone. **Please provide the best phone number where you can be reached between 8AM and 5PM to facilitate their review in case more information is needed.** If there is a situation where a questionnaire needs to be expedited, please contact the IACUC at iacuc@uncw.edu and we will do our best to coordinate this with Wilmington Health.
Does the IACUC need to see the entire health screening questionnaire, or just the healthcare provider clearance form?

Please note that in the interest of protecting health information, no one should send the full, completed health questionnaire to the IACUC. Personnel should submit only the Healthcare Provider Clearance Form (page 3 of the health screening form) to the PI of the study, who then sends a copy to the IACUC. Please encourage personnel to follow the instructions that are included with the health screening evaluation form and at the bottom of pages 2 and 3 of the form, and please ensure that the most recent version of the questionnaire is used, which provides a space for the physician to indicate the species that are cleared, as well as the correct PO number. The current version of the form also includes a Patient Registration Form for Wilmington Health.

Questions?
Contact the IACUC at IACUC@uncw.edu