Risk Recap

Prevailing Categorical Risks

• COVID-19 Pandemic
• Enrollment Management
• Human Capital Related Items (Attract & Retain)
• Revenue/Funding Sufficiency

Top Active Risk Categories

• Accessibility Needs
• Data Security
• Employee Engagement
• Research Related Activity
## Prevailing Categorical Risks

<table>
<thead>
<tr>
<th>Category</th>
<th>Internal Management/Monitoring Architecture</th>
<th>External Monitoring / Reporting</th>
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</table>
| COVID-19 Pandemic                     | • Health & Safety Committee  
• Student Health Center  
• EH&S                                                                   | • System Office  
• Local Health Department                                                                 |
| Enrollment Management                 | • Enrollment Management Team  
• Monthly Meeting and Tracking Report  
• Investment Fund Agreements                                                      | • System Office Reporting  
• Moody’s  
• Collegiate Housing Foundation (CHF)                                               |
| Human Capital Related Items (Attract & Retain) | • Human Resources  
• Academic Affairs Resource Man.  
• New Hires Monthly Meeting & Report  
• Renewal and Change Accountability Committee                                        | • System Office Retention Report (November)                                                        |
| Revenue / Funding Sufficiency         | • Budget Office  
• Business Officers  
• Annual Debt Study  
• Summer Analysis & Fall Budget Memo  
• Special Funds Budgets                                                              | • System Office  
• OSBM  
• Moody’s Credit Rating Review  
• CHF Annual Bud. Review                                                             |
Risk Category: Accessibility Needs

Risk Description
• Aging facilities in need of upgrades to better accommodate those with disabilities
• Improved awareness of existing resources provided through DRC
• Ensuring adequate financial resources to address future needs

Mitigation efforts
• Completed upgrades to existing facilities
• DRC - enhanced processes and better communications
• Establishing and hiring for new positions
• Universal Design for Learning
Risk Category: Data Security

**Risk Description**

- Threat landscape constantly evolving (i.e., new tactics)
- Departmental technology decisions versus university wide
- Legislative constraints

**Mitigation efforts**

- Outside audits and assessments
- IT and Data Governance
- IT Security staff augmented by external specialists
- Continue to move systems under multi-factor authentication
Risk Category: Employee Engagement

Risk Description

• Challenges with remote working environment
• Workforce exhaustion/mental health

Mitigation efforts

• Staff engagement events
• New employee welcome seminar
• New training programs
• Pilot program for call-in immediate support
Risk Category: Research

Risk Description

• Growing engagement with external stakeholders
• Infrastructure needed to accommodate increased activity
• Continuity of Operations

Mitigation efforts

• Refining and improving business processes
• R&R funds for CMS
• Templates for Continuity of Operations Plans
Thank you!