IT Governance Update

1400.1 Information Technology Governance
(UNC System Office Policy)

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Associate Vice Chancellor & Chief Information Officer
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Update on UNCW IT Governance

In accordance with the *Code and UNC Policy Manual*, “Chapter 1400 Information Technology”, “1400.1 Information Technology Governance”

**IV. Information Technology Governance Program.**
“Each constituent institution and the UNC System Office shall establish an information technology governance program consistent with the UNC System’s information technology governance program framework and principles.”

**V. Oversight of Information Technology Governance**
A.5. “Periodic consideration of information technology matters by the audit/compliance/risk management committee of the institution’s board;”
Update on UNCW IT Governance

Five points of the UNC System *Information Technology Governance Program Framework and Principles* referenced by 1400.1 section IV. “Information Technology Governance Program”.

Each constituent institution is responsible for the implementation of the IT Governance Program, which aligns to the following system-wide principles:

1. Actively design IT Governance
2. Governance Framework
3. Implement policies and procedures
4. Information Security Program
5. Transparency
System IT Governance Principles Alignment

1. Actively design IT Governance
   a) Review/ Redesign process and schedule
   b) Approval process requirement that includes broad campus awareness
   c) Continuous monitoring
   d) Exception handling

Status: A UNCW work-group, representing key areas of the campus, worked with Gartner to develop draft UNCW IT Governance documents. The workgroup is committed to finalizing the IT Governance Charter and UNCW Guiding Principles for Chancellor approval in Fall, 2020.
System IT Governance Principles Alignment

2. Governance Framework
   a) Ownership and accountability
   b) Academic, Administrative alignment with IT
   c) Account for all IT, including distributed IT
   d) CIO access to senior leadership

Status: Building on UNCW’s existing IT Governance, UNCW is developing our next iteration of IT Governance on recommendations received from Gartner and models from other UNC System Schools and in accordance with UNC System 1400.1 policy. Alignment with Academic and Administrative units and ITS has been maturing over the past 4 years under CIO. Distributed IT areas have been identified and processes for compliance are in development. CIO will update Cabinet on a quarterly basis.
System IT Governance Principles Alignment

3. Implement policies and procedures
   a) Awareness and training
   b) Gaps and associated action plans
   c) Policies, guidelines and standards
   d) Process to verify compliance

Status: Gap analysis has been completed. ITS is reviewing and updating IT polices to ensure they are current and reflect any requirements of UNC System 1400.1 policy, with 9 updated and published and 10 in final draft. ITS annually reviews all ITS procedures.
4. Information Security Program
   a) Oversight
   b) Training and awareness

**Status:** Information Security Program is in draft with expected publication in June 2020. An information security workgroup, a subcommittee of the IT Advisory Council, provides oversight along with CIO. Information security awareness and training is provided both in-person and through computer-based training.
System IT Governance Principles Alignment

5. Transparency
   a) Reporting requirements set forth by President or UNC BOG
   b) Timely collaboration with UNC System on gaps, needs, or shared service opportunities

Status: ITS will continue to work with and update the UNCW Board of Trustees Audit, Risk, & Compliance Committee on matters of IT Governance. UNCW CIO serves as Chair of UNC CIO Counsel and will continue to work with the UNC System to identify gaps, needs, and utilize shared services opportunities.
Update on UNCW IT Governance

Please direct any questions to:

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Thank You!