Enterprise Risk Management

Board of Trustees
Audit, Risk, and Compliance Committee

July 18, 2019
## Tier 1 Risks – 2018

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Attracting and Retaining Quality and Diverse Faculty and Staff

- Staffing in HR
- Participation in Live Oak Bank IDEA Roundtable
- Expanded training and engagement opportunities
- Strategies related to salaries:
  - SHRA to EHRA conversion to enhance salary competitiveness in IT
  - Commitment to move salaries to 80% of market value
  - Funding for EHRA merit increases
Enrollment: Attracting and Retaining Diverse, High Quality Student Populations

- Monthly enrollment meetings to examine targeted student segments
- Merit based student scholarships
- New programs and facilities
- Projected to meet first year freshman goal
Deferred Maintenance and Swing Space for Specialized Facilities

• Seven complete roof replacements with improvements to the 130+mph wind code
• Conducted detailed roof evaluations of all facilities on all campus locations and addressed all identified issues
• Storm water system review continues to be assessed and repaired
• Dobo Hall Envelope will be completely refurbished to include new doors, windows, roof, flashings, etc.
• Dobo Hall rebuild will include redundancy in the HVAC and electrical systems far beyond the original design
Information Security – Breach and Service Interruption

• Addition of staff position
• Awareness training
• Cyber insurance
• Movement of critical systems to the Cloud
Critical Incidents – Safety and Security

• Active Attacker training
• Hurricane Florence After Action Review
• Contracts for emergency mitigation services
• Purchase of additional portable equipment
• Online Title IX training for all students, faculty and staff
• Title IX awareness campaign through printed materials and website
Revenue Sufficiency to Meet Strategic Goals

• Intentional growth in programs that receive more generous support through state allocation formula
• Implementing differential tuition for specific programs that are more expensive to offer
• Purchasing Services was able to realize an estimated $3.86 million in cost savings during FY19 through efforts to minimize purchasing costs in numerous areas, with a focus on furniture, information technology, and scientific equipment
Minors on Campus

- Risk and safety presentations to Youth Programs Camp Staff with representatives from:
  - Emergency Management
  - Environmental Health and Safety
  - General Counsel
  - Risk Management
  - Title IX and Clery Compliance
  - University Police

- Protection of Minors Program
  - Housed under Risk Management
  - One FTE devoted to program

- UNC System Policy – 1300.10 Protection of Minors on Campus
Questions?