



UNCW Stock Gifting Transfer Instructions

[1] Donor Name(s): _____

Information regarding stock being transferred:

Table with 3 columns: Name of Company, Ticker Symbol, No. of Shares Gifted

Specific designation for gift (if any): _____

Your Broker: Name of Firm, Name of Financial Consultant, Telephone of Financial Consultant, Location of Firm Office (City & State)

[2] Please give the following transfer instructions to your Broker in order to facilitate your gift of stock through our Foundation:

Account Name: UNCW Foundation, 601 S. College Road, Campus Box 5479, Wilmington, NC 28403
Broker for the UNCW Foundation: UBS Financial Services, Inc., 1985 Eastwood Road, Suite 110, Wilmington, NC 28403, Toll Free: 1-888-275-2720

UBS DTC Number: 0221
UBS Account Number: WK0547902

Note: For donors who are clients of UBS – both donor and donee will receive a confirmation detailing the gift the day the assets leave the donor’s account. After liquidation and settlement, the UNCW Foundation will receive a check for sales proceeds.

Note: For donors who are not clients of UBS – the delivering Broker will need to contact UBS Branch Service Associate, Mason Hawfield, at 910-509-2182 when assets are sent for transfer. This will allow us to liquidate the assets as soon as they post in the UNCW Foundation’s account. UBS will liquidate assets upon their arrival and the Foundation will receive a check for sales proceeds after settlement date. Also note that the delivering Broker will be responsible for sending confirmations to the Foundation.

[3] Depository Trust Company (DTC) does NOT tell us the name of the donor transferring the stock electronically, or how its sales proceeds are to be used or designated. Therefore, after you deliver these instructions to your Broker, it is very important that you (or your Broker) contact Diane Pierce, Director of UNCW Advancement Services, Tel: 910-962-7363, Fax: 910-962-2109, Email: pierced@uncw.edu, to inform her of this transfer so that your gift is properly received. You may fax a copy of this completed form to her, or simply call or email her with this information. And thank you!