



UNCW Stock Gifting Transfer Instructions

[1] Donor Name(s): \_\_\_\_\_

Information regarding stock being transferred:

Table with 3 columns: Name of Company, Ticker Symbol, No. of Shares Gifted. Includes two rows of blank lines for entry.

Specific designation for gift (if any): \_\_\_\_\_

Your Broker: Name of Firm \_\_\_\_\_
Name of Financial Consultant \_\_\_\_\_
Telephone of Financial Consultant ( ) \_\_\_\_\_
Location of Firm Office (City & State) \_\_\_\_\_

[2] Please give the following transfer instructions to your Broker in order to facilitate your gift of stock through our Foundation:

Account Name: UNCW Foundation
601 S. College Road
Campus Box 5479
Wilmington, NC 28403
Broker for the UNCW Foundation:
UBS Financial Services, Inc.
1985 Eastwood Road, Suite 110
Wilmington, NC 28403
Toll Free: 1-888-275-2720

UBS DTC Number: 0221
UBS Account Number: WK0547902

Note: For donors who are clients of UBS – both donor and donee will receive a confirmation detailing the gift the day the assets leave the donor’s account. After liquidation and settlement, the UNCW Foundation will receive a check for sales proceeds.

Note: For donors who are not clients of UBS – the delivering Broker will need to contact UBS Branch Service Associate, Riley Stephenson, at 910-509-2166 when assets are sent for transfer. This will allow us to liquidate the assets as soon as they post in the UNCW Foundation’s account. UBS will liquidate assets upon their arrival and the Foundation will receive a check for sales proceeds after settlement date. Also note that the delivering Broker will be responsible for sending confirmations to the Foundation.

[3] Depository Trust Company (DTC) does NOT tell us the name of the donor transferring the stock electronically, or how its sales proceeds are to be used or designated. Therefore, after you deliver these instructions to your Broker, it is important that you or your Broker contact Claire Stanley, Assistant Vice Chancellor for University Advancement, Tel: 910-962-3169, Fax: 910-962-7159, Email: stanleyc@uncw.edu, to inform her of this transfer so that your gift is properly received.